

11-30-00

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PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 C.F.R. § 1.53 (b))	Attorney Docket No. 7703-PA02	
	First Inventor or Application Identifier John E. Tomaschke	
	Title	LOW PRESSURE REVERSE OSMOSIS AND NANOFILTRATION MEMBRANES AND METHOD FOR THE PRODUCTION THEREOF
	Express Mail Label No. EL584702392US	

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	
2. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) [Total Pages 19]	
3. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input type="checkbox"/> ]	
4. <input type="checkbox"/> Oath or Declaration [Total Pages 2]	
5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
a. <input type="checkbox"/> Computer Readable Copy	
b. <input type="checkbox"/> Paper Copy (identical to computer copy)	
c. <input type="checkbox"/> Statement verifying identity of above copies	
<b>ACCOMPANYING APPLICATION PARTS</b>	
7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
8. <input type="checkbox"/> 37 CFR §3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney	
9. <input type="checkbox"/> English Translation Document (if applicable)	
10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
11. <input type="checkbox"/> Preliminary Amendment	
12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
13. <input type="checkbox"/> *Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)	
14. <input type="checkbox"/> Certified copy of Priority Documents(s) (if foreign priority is claimed)	
15. <input checked="" type="checkbox"/> Other: Check for \$836; Check for \$40	

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>17. CORRESPONDENCE ADDRESS</b>					
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Name (Print/Type)	James W. McClain	Registration No. (Attorney/Agent)	24,536
Signature		Date	November 28, 2000

10825 U.S. PTO  
09/24/00  
11/28/00

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 876.00

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	November 28, 2000
First Named Inventor	John E. Tomaschke
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	7703-PA02

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

Deposit Account Name

☒ Charge Any Additional Fee Required  
Under 37 C.F.R. §§ 1.16 and 1.17

2. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	101	710	201	355	Utility filing fee		710
	106	320	206	160	Design filing fee		
	107	490	207	245	Plant filing fee		
	108	710	208	355	Reissue filing fee		
	114	150	214	75	Provisional filing fee		

SUBTOTAL (1) (\$ 710.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
27	-20**= 7	X 18	= 126
Independent Claims	2 - 3**=	X	=
Multiple Dependent			=

\*\*or number previously paid, if greater. For Reissues, see below.

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	103	18	203	9	Claims in excess of 20	
	102	80	202	40	Independent claims excess of 3	
	104	270	204	135	Multiple dependent claim, if not paid	
	109	80	209	40	**Reissue independent claims over original patent	
	110	18	210	9	**Reissue claims in excess of 20 and over original patent	


SUBTOTAL (2) (\$ 126.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130	205	65	Surcharge - late filing fee or oath		
	127	50	227	25	Surcharge - Late provisional filing fee or cover sheet		
	139	130	139	130	Non-English specification		
	147	2,520	147	2,520	For filing a request for reexamination		
	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
	115	110	215	55	Extension for reply within first month		
	116	390	216	195	Extension for reply within second month		
	117	890	217	445	Extension for reply within third month		
	118	1,390	218	695	Extension for reply within fourth month		
	128	1,890	228	945	Extension for reply within fifth month		
	119	310	219	155	Notice of Appeal		
	120	310	220	155	Filing a brief in support of appeal		
	121	270	221	135	Request for oral hearing		
	138	1,510	138	1,510	Petition to institute a public use proceeding		
	140	110	240	55	Petition to revive - unavoidable		
	141	1,240	241	620	Petition to revive - unintentional		
	142	1,240	242	620	Utility issue fee (or reissue)		
	143	440	243	220	Design issue fee		
	144	600	244	300	Plant issue fee		
	122	130	122	130	Petitions to the Commissioner		
	123	50	123	50	Petitions related to provisional applications		
	126	240	126	240	Submission of Information Disclosure Stmt		
	581	40	581	40	Recording each patent assignment per property (times number of properties)		40
	146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))		
	149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))		
Other fee (specify) _____							
Other fee (specify) _____							
* Reduced by Basic Filing Fee Paid							
SUBTOTAL (3) (\$ 40.00							

## SUBMITTED BY

Name (Print/Type)	James W. McClain	Registration No. (Attorney/Agent)	24,536	Telephone	(619) 238-0999
Signature		Date	November 28, 2000		

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.